

**TRANSMITTAL FOR A PCT INTERNATIONAL APPLICATION ENTERING THE  
NATIONAL STAGE IN THE U.S. AS A DESIGNATED or ELECTED OFFICE  
UNDER 35 USC 371**

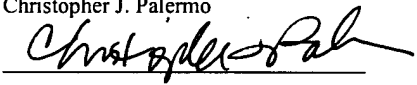
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I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, as required under 37 CFR 1.10, on the date indicated above and is addressed to Mail Stop Box PCT, Attention DO/EO/US, Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450.

Typed Name: Christopher J. Palermo

Signature: 

INTERNATIONAL APPLICATION NUMBER: PCT/AU2004/000387

Int'l Filing Date: 29 March 2004

1st Priority Date: 28 March 2003

Inventor(s): Richard KABZINSKI, Michael Alfred HEARN; Russell E. POWERS

For: SECURITY SYSTEM AND METHOD FOR COMPUTER OPERATING SYSTEMS

MAIL STOP BOX PCT

Attention: DO/EO/US

Commissioner for Patents

P.O. Box 1450

Alexandria, VA -22313-1450

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. The United States Patent Office is: (select one)
  - ☒ A Designated Office (No Demand was filed - See 37 CFR 1.494)
  - ☐ An Elected Office (A Demand for Preliminary Examination was Filed - See 37 CFR 1.495)
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☒ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing.
18. ☒ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:
21. ☒ The following fees are submitted:

## NATIONAL PROCESSING FEES:

Basic National Stage Fee – 37 CFR 1.492(a) \$300.00 \$ 300.00

Search Fee when an IPER by the US IPEA or a WO by the US ISA states that the criteria of novelty, inventive step, and industrial applicability have been satisfied—37 CFR 1.492(b)(1) 0.00

Search fee when a search fee has been previously paid to the USPTO as ISA—37 CFR 1.492(b)(2) 0.00

Search fee when an ISR has been prepared by an ISA other than the US and is provided or communicated by the IB to the USPTO—37 CFR 1.492(b)(2) 200.00

Search fee in all other situations—37 CFR 1.492(b)(3) 250.00 \$ 200.00

Examination Fee when an IPER by the US IPEA or a WO by the US ISA states that the criteria of novelty, inventive step, and industrial applicability have been satisfied—37 CFR 1.492(c)(1) 0.00

Examination Fee for all other situations 100.00 \$ 100.00

**TOTAL BASIC, SEARCH, AND EXAMINATION FEES** = \$ 600.00

Surcharge for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). 130.00 \$ 130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	24 - 20 =	4	x \$50.00	\$ <u>200.00</u>
Independent Claims	2 - 3 =	0	x \$200.00	\$ <u></u>
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			x \$3600.00	\$ <u></u>
<b>TOTAL OF CLAIM FEES</b>				= \$ <u>200.00</u>

Application size fee when specification and drawings exceed 100 sheets, for each additional 50 sheets or fraction thereof (37 CFR 1.492(j)) 250.00 \$

**SUBTOTAL** = \$ 930.00

JC09 Rec'd PCT/PTO 28 SEP 2009

- ☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½

\$ 465.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months  
From the earliest claimed priority date (37 CFR 1.492(i)).

\$

**TOTAL NATIONAL FEE** = \$ 465.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
Accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

**TOTAL FEES ENCLOSED** = \$ 465.00

- a. ☒ A check in the amount of \$465.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 50-1302 (Order No. \_\_\_\_\_) in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1302 (Order No.). A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.37(a) or (b) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

Christopher J. Palermo

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42,056

REGISTRATION NUMBER

CUSTOMER CODE

29989